

RECEIVED
CENTRAL FAX CENTER

LAW OFFICES
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.
Stanford Research Park
700 Hansen Way
Palo Alto, CA 94304

Telephone
(650) 849-6600

Facsimile
(650) 849-6666

OFFICIAL

FACSIMILE TRANSMITTAL

TO

Name: Examiner DARROW, Justin T.
Loc: Group 2132 / USPTO
Fax No.: 703-872-9306
Phone No.:
Subject: US Appln. No. 09/698,044

FROM

Name: Andrew B. Schwaab
Phone No.: 650-849-6643
Fax # Verified by: abs
Pages (incl. this): 58
Date: August 3, 2004

Your File No.: 09/698,044

Our File No.: 07451.0001-16

Message:

In re Application of:)	
GINTER et al.)	Group Art Unit: 2132
Application No.: 09/698,044)	Examiner: DARROW, Justin T.
Filed: October 30, 2000)	
For: SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT AND ELECTRONIC RIGHTS PROTECTION)	

Examiner Darrow:

Here is the final summary of the related litigation, regarding which Microsoft has taken a comprehensive license to InterTrust's patent portfolio for a one-time payment of \$440,000,000.00.

Please call if you have any questions or would like any additional information.

Sincerely,
Andrew Schwaab
direct 650-849-6643

If there is a problem with this transmission, notify fax room at (650) 849-6600 or the sender at the number above.

This facsimile is intended only for the individual to whom it is addressed and may contain information that is privileged, confidential, or exempt from disclosure under applicable law. If you have received this facsimile in error, please notify the sender immediately by telephone (collect), and return the original message by first-class mail to the above address.

Appn. No.: 09/698,044
Final Updated Notice of Related Litigation,
filed August 3, 2004

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0001-16000
InterTrust Ref. No.: IT-5.6.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
GINTER et al.) Group Art Unit: 2132
Serial No.: 09/698,044) Examiner: DARROW, Justin T.
Filed: October 30, 2000)
For: SYSTEMS AND METHODS FOR)
SECURE TRANSACTION)
MANAGEMENT AND)
ELECTRONIC RIGHTS)
PROTECTION)

RECEIVED
CENTRAL FAX CENTER

AUG - 3 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

OFFICIAL

Sir:

FINAL UPDATED NOTICE REGARDING RELATED LITIGATION

Applicants submit this Final Updated Notice to inform the Examiner of the status of the litigation between InterTrust and Microsoft, captioned InterTrust Technology Corp. v. Microsoft Corp. (C 01-1640 SBA, N. D. Ca.). This paper is entitled "Final Notice" because this litigation has been dismissed with prejudice following settlement of the dispute.

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b)(4), Applicants submit this paper to bring to the attention of the Examiner the information herein. This paper is being filed before the mailing of a first office action after the filing of a request for continued examination under § 1.114. Under the provisions of 37 C.F.R. § 1.97(b), no fee is due

Appln. No.: 09/698,044
Final Updated Notice of Related Litigation,
filed August 3, 2004

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0001-16000
InterTrust Ref. No.: IT-5.6.1 (US)

in connection with the filing of this paper. If, however, the Office deems any fees to be necessary, they may be charged to our Deposit Account, No. 06-0916.

Applicants hereby bring to the attention of the Examiner the following information and provide copies of the referenced documents for the Examiner's consideration. On February 23, 2004, Microsoft filed a Notice of Motion and Memorandum in Support of Motion for Partial Summary Judgment of Invalidity of the Asserted Claims of the '900 Patent (Anticipation). See Exhibit 1. On February 23, 2004, Microsoft also filed a Notice of Motion, Motion and Memorandum in Support of it's Motion for Partial Summary Judgment of Invalidity of the Asserted Claims of the '181 Patent (Anticipation). See Exhibit 2.

The parties subsequently settled and, on May 5, 2004, filed a Joint Stipulation of Dismissal with Prejudice. See Exhibit 3. Exhibit 4 is a copy of the press release announcing the settlement and indicating that Microsoft has taken a comprehensive license to InterTrust's patent portfolio for a one-time payment of \$440 million. See Exhibit 4.

Applicants note that they have not provided the Office with the exhibits referred to in Exhibits 1 and 2 because they are either (a) publicly available and not necessary to understand the motion, and/or (b) contain Microsoft's Attorneys-Eyes-Only information that we cannot disclose. If, however, the Examiner believes that any document not yet submitted may be helpful in resolving an issue before him and would like to review that or any other document, Applicants invite the Examiner to contact the undersigned at (650) 849-6643.

Appln. No.: 09/698,044
Final Updated Notice of Related Litigation,
filed August 3, 2004

PATENT
Customer No. 22,852
Attorney Docket No. 7451.0001-16000
InterTrust Ref. No.: IT-5.6.1 (US)

Applicants submit this Final Updated Notice Regarding Related Litigation in fulfillment of their duty to disclose information potentially material to patentability under 37 CFR 1.56. This submission does not constitute an admission that any of the listed documents are material or constitute "prior art."

The instant application is also assigned to InterTrust and may bear relation to U.S. Patent Nos. 5,892,900 ("the '900 patent) and/or 6,112,181 ("the '181 patent"), referred to in Exhibits 1 and 2, by: 1) claiming the benefit of priority from ancestor Appln. No. 08/388,107 ("the '107 application") and/or the applications leading to the '900 and '181 patents; 2) incorporating by reference the '107 application or applications related thereto; and/or 3) containing statements that it is or may bear (subject matter) relation to the '107 application or applications related thereto.

If there are any fees due with the filing of this Notice which have not yet been paid, please charge the fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: August 3, 2004

By: Andrew Schwaab
Andrew B. Schwaab
Reg. No. 38,611

Finnegan Henderson Farabow
Garrett & Dunner L.L.P.
1300 I Street, NW
Washington, D.C. 20005
(202) 408-4000